## 11.4 GENERATOR SET, BASIS AND DIMENSION

We begin with the definition of a set of generators.

**Definition:** A set G of vectors in a vector space V is called a set of generators or a spanning set of V if every vector V can be expressed as a linear combination of the vectors of G. The fact that G is a set of generators for V is expressed symbolically by  $V = \operatorname{sp}(G)$ . Note that a set of generators need not be linearly independent.

**Example 1:** Show that the following sets are spanning sets for  $\mathbb{R}^3$ .

$$(i) \{(1,0,0),(0,1,0),(0,0,1)\},\$$

$$\{(1,1,0),(1,0,1),(0,1,1)\}$$

(iii) 
$$\{(1,0,0),(0,1,0),(0,0,1),(1,1,1)\}$$

(iv) 
$$\{(2,1,0),(1,1,2),(-1,0,1)\}.$$

**Solution:** (i) Let  $(x_1, x_2, x_3) \in \mathbb{R}^3$  be arbitrary.

Then evidently

$$(x_1, x_2, x_3) = x_1(1, 0, 0) + x_2(0, 1, 0) + x_3(0, 0, 1), x_1, x_2, x_3 \in \mathbb{R}$$

Thus, every vector of  $\mathbb{R}^3$  is expressible as a linear combination of the vectors of the given set.

Hence, the given set is a set of generators for  $\mathbb{R}^3$ .

(ii) Let  $(\hat{x}_1, x_2, x_3) \in \mathbb{R}^3$  be arbitrary.

Then, if possible, let

$$(x_1, x_2, x_3) = \alpha(1, 1, 0) + \beta(1, 0, 1) + \gamma(0, 1, 1).$$
  
 $x_1 = \alpha + \beta, x_2 = \gamma + \alpha, x_3 = \beta + \gamma.$ 

This system of equations in  $\alpha$ ,  $\beta$ ,  $\gamma$  has a solution viz.

$$\alpha = \frac{1}{2}(x_1 + x_2 - x_3), \beta = \frac{1}{2}(x_1 - x_2 + x_3), \gamma = \frac{1}{2}(x_2 + x_3 - x_1).$$

Clearly,  $(x_1, x_2, x_3)$  is expressible as a linear combination of the vectors of the given set as  $\alpha$ ,  $\beta$ ,  $\gamma \in \mathbb{R}$ .

Hence, the given set is a spanning set.

(iii) Since any arbitrary vector  $(c_1, c_2, c_3)$  of  $\mathbb{R}^3$  can be expressed as a linear combination of the given vectors as

$$(c_1, c_2, c_3) = c_1(1, 0, 0) + c_2(0, 1, 0) + c_3(0, 0, 1) + 0(1, 1, 1)$$

We conclude that the given set of vectors is a spanning set for  $\mathbb{R}^3$ . (Note this expression is not unique.)

(iv) Let  $(x_1, x_2, x_3)$  be an arbitrary vector of  $\mathbb{R}^3$  and let, if possible,

$$(x_1, x_2, x_3) = \alpha(2, 1, 0) + \beta(1, 1, 2) + \gamma(-1, 0, 1)$$
 for some  $\alpha, \beta, \gamma \in \mathbb{R}$ .

Then

$$2\alpha + \beta - \gamma = x_1$$
,  $\alpha + \beta = x_2$ ,  $2\beta + \gamma = x_3$ .

Solving, we get

$$\alpha = 3x_2 - x_3 - x_1$$
,  $\beta = x_1 - 2x_2 + x_3$ ,  $\gamma = 4x_2 - x_3 - 2x_1$ .

Thus, every vector of  $\mathbb{R}^3$  is expressible as a linear combination of the given vectors. Hence, the given set is a spanning set for  $\mathbb{R}^3$ .

## Example 2: Show that the set of vectors

(i)  $\{(2, 1, 3), (-1, 1, 0), (1, 2, 3)\}$  is not a spanning set for  $\mathbb{R}^3$ .

(ii)  $\{(1, 1, 0), (0, 1, 1)\}\$  is not a spanning set for  $\mathbb{R}^3$ .

(iii)  $\{(1,2,-1),(2,1,0),(4,2,2),(1,1,1)\}$  is a spanning set for  $\mathbb{R}^3$ .

**Solution:** (i) If possible let  $(x_1, x_2, x_3) \in \mathbb{R}^3$  be expressible as

$$(x_1, x_2, x_3) = \alpha(2, 1, 3) + \beta(-1, 1, 0) + \gamma(1, 2, 3).$$
or
$$2\alpha - \beta + \gamma = x_1 \qquad ...(i)$$

$$\alpha + \beta + 2\gamma = x_2 \qquad ...(ii)$$

$$3\alpha + 3\gamma = x_3 \qquad ...(iii)$$

Adding (i) and (ii), we get  $3\alpha + 3\gamma = x_1 + x_2$ .

So if  $x_1 + x_2 \neq x_3$ , the above system becomes inconsistent. Then the linear expression for the point  $(x_1, x_2, x_3)$  will not be possible, (for example, (1, 2, 0) cannot be expressed as a linear combination of the given vectors).

Thus, the given set of vectors is not a spanning set for  $\mathbb{R}^3$ .

(ii) Let, if possible, an arbitrary vector  $(c_1, c_2, c_3)$  of  $\mathbb{R}^3$  be expressible as a linear combination of the given vectors

i.e., 
$$(c_1, c_2, c_3) = \alpha(1, 1, 0) + \beta(0, 1, 1)$$
  
Then  $c_1 = \alpha, c_2 = \alpha + \beta, c_3 = \beta$   
So,  $c_2 = c_1 + c_3$ .

Evidently, if  $c_2 \neq c_1 + c_3$ , then such a vector of  $\mathbb{R}^3$  cannot be expressed as a linear combination of (1, 1, 0) and (0, 1, 1), e.g., (1, 2, 0). Hence, the given set cannot be a spanning set for  $\mathbb{R}^3$ .

(iii) To show that every vector of  $\mathbb{R}^3$  can be expressed as a linear combination of the given vectors, we assume that an arbitrary vector  $(c_1, c_2, c_3) \in \mathbb{R}^3$  and write that as

$$(c_1, c_2, c_3) = \alpha(1, 2, -1) + \beta(2, 1, 0) + \gamma(4, 2, 2) + \delta(1, 1, 1).$$

We now prove that for given  $c_1$ ,  $c_2$ ,  $c_3$  such  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  exist.

From above, we get

$$\alpha + 2\beta + 4\gamma + \delta = c_1$$

$$2\alpha + \beta + 2\gamma + \delta = c_2$$

$$-\alpha + 2\gamma + \delta = c_3$$

This is a system of 3 equations in 4 unknowns having infinitely many solutions.

Hence, for a given vector  $(c_1, c_2, c_3)$  there are infinitely many linear combinations of the given vectors to generate  $(c_1, c_2, c_3)$ . This implies that the given set is a set of generators for  $\mathbb{R}^3$ .

Remark: From the above two examples, one must have noted that

- (1) a vector space may have many sets of generators.
- (2) a generator set for  $\mathbb{R}^n$  contains at least n generators.
- (3) a set of generators need not be linearly independent.

**Definition:** A basis of a vector space V is a subset of V which is linearly independent and a spanning set as well.

Thus the set  $\{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  is a basis of  $\mathbb{R}^3$  inasmuch as this set of vectors is linearly independent and is also a set of generators.

From the above examples, one should note

- 1. A vector space can have several bases.
- 2. The cardinality of each basis is the same.
- 3. The trivial vector space {0} has no basis.

**Definition:** The dimension of a vector space is defined to be the cardinality of its basis. The dimension of the trivial vector space is defined to be zero. The dimension of the vector space V is denoted by dim (V).

Thus, the dimension of  $\mathbb{R}^3$  is 3. Similarly, the dimension of  $\mathbb{R}^n$  is n.

The dimension of a vector space may be finite or infinite. The vector space  $\mathbb{R}^3$  is finite dimensional but the dimension of  $\mathbb{R}[x]$  is infinite. There are many other infinite dimensional vector spaces.

A useful result about dimension is the following.

**Theorem:** If S and T are two subspaces of a vector space V, then

$$\dim (S+T) = \dim (S) + \dim (T) - \dim (S \cap T).$$

If in particular,  $S \cap T = \{\theta\}$ , then

$$\dim (S+T)=\dim (S)+\dim (T).$$

The proof is outside the scope of this book.

**Example 3:** Find the dimension of S + T where  $S = \{(x, y, z) \in \mathbb{R}^3; x = 0\}$  and  $T = \{(x, y, z) \in \mathbb{R}^3; y = 0\}.$ 

**Solution:** Clearly S is the yz-plane having dimension 2 and T is the zx-plane having dimension 2.

As  $S \cap T$  is the z-axis having dimension 1, we get

$$\dim(S+T) = \dim(S) + \dim(T) - \dim(T)$$
  
= 2 + 2 - 1 = 3.

**Remark:** It is easy to observe that every vector of  $\mathbb{R}^3$  can be obtained as the sum of a vector of S and a vector of T.

Hence, S + T is nothing but  $\mathbb{R}^3$ , having dimension 3.

Replacement Theorem: Let  $\{\alpha_1, \alpha_2, ... \alpha_n\}$  be a basis of a vector space V over  $\mathbb{R}$  and  $\beta$  be a non-zero vector of V can be expressed as a linear combination of these vectors as

$$\beta = a_1 \alpha_1 + a_2 \alpha_2 + ... + a_n \alpha_n \text{ where } a_i \in \mathbb{R}$$
 and if  $a_i \neq 0$ , then  $\{\alpha_1, \alpha_2, ..., \alpha_{i-1}, \beta, \alpha_{i+1}, ... \alpha_n\}$  is a new basis of  $V$ .

[i.e.,  $\alpha_i$  can be replaced by  $\beta$  in the basis.]

The proof is outside the scope of this book.

**Theorem:** Any two basis of a finite dimensional vector space V have the same number of vectors.

**Proof:** Let  $\{\alpha_1, \alpha_2, ..., \alpha_n\}$  and  $\{\beta_1, \beta_2, ..., \beta_n\}$  be the two bases of a finite dimnesional vector space V.

Since  $\{\alpha_1, \alpha_2, ..., \alpha_m\}$  is a basis of V and  $\{\beta_1, \beta_2, ..., \beta_n\}$  is a linearly independent set of vectors in V, then  $n \le m$  ...(1)

Again, since  $\{\beta_1, \beta_2, ..., \beta_n\}$  is a basis of V and  $\{\alpha_1, \alpha_2, ..., \alpha_m\}$  is linearly independent set of vectors in V, then  $m \le n$  ...(2)

From (1) and (2), we get

$$m = n$$

This proves the theorem.

**Note:** (i) If  $\{\alpha_1, \alpha_2, ... \alpha_n\}$  be a basis of a finite dimensional vector space V over  $\mathbb{R}$ , then any linearly independent set of V contains at most n vectors.

- (ii) Every finite dimensional vector space has a basis.
- (iii) Every subset contains n linearly indepedent vector of n dimensional vector space is a basis of that vector space.
- (iv) Every subset contains more than n vectors of n dimensional vector space is linearly dependent and can not be a basis of that vector space.

**Example 1:** Prove that the set  $S = \{(1, 0, 1), (0, 1, 1), (1, 1, 0)\}$  is a bases of  $\mathbb{R}^3$ .

Solution: Let us consider the relation

$$c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 = 0$$
 where  $c_i \in \mathbb{R}$  and  $\alpha_1 = (1, 0, 1), \alpha_2 = (0, 1, 1), \alpha_3 = (1, 1, 0)$   
 $c_1(1, 0, 1) + c_2(0, 1, 1) + c_3(1, 1, 0) = (0, 0, 0)$ 

or 
$$(c_1 + c_3, c_2 + c_3, c_1 + c_2) = (0, 0, 0)$$
  
This gives  $c_1 + c_3 = 0$  ...(1)

$$c_2 + c_3 = 0$$
 ...(2)

$$c_1 + c_2 = 0$$
 ...(3)

Now, we get from (1) + (2) - (3),  $2c_3 = 0 \Rightarrow c_3 = 0$  and we also get from (1) and (2),  $c_1 = c_2 = 0$ .

This proves that the set S is linearly independent.

Let  $\xi \in \mathbb{R}^3$  be any arbitrary element where  $\xi = (a, b, c)$ . Then we shall prove that  $\xi \in L(S)$ .

If possible, let  $\xi = a_1 \alpha_1 + a_2 \alpha_2 + a_3 \alpha_3$  where  $a_1$ ,  $a_2$ ,  $a_3$  are real.

or 
$$(a, b, c) = a_1(1, 0, 1) + a_2(0, 1, 1) + a_3(1, 1, 0)$$
  
This gives  $a_1 + a_2 = a_1$ 

This gives 
$$a_1 + a_3 = a$$
$$a_2 + a_3 = b$$
$$a_1 + a_2 = c$$

This non-homogeneous system of three equations in  $a_1$ ,  $a_2$ ,  $a_3$ . The

coefficient determinant = 
$$\begin{vmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{vmatrix} = 1(0-1)-0+1(-1)=-1-1=-2 \neq 0.$$

Hence, by the Cramer's rule, there exists unique solution for  $a_1$ ,  $a_2$ ,  $a_3$ .

This proves that  $\xi \in L(S)$  and therefore  $\mathbb{R}^3 \subset L(S)$  ...(4)

Again  $S \subset \mathbb{R}^3$  and L(S) is the smallest subspace containing S, then

$$L(S) \subset \mathbb{R}^3$$
 ...(5)

From (4) and (5), we get  $L(S) = R^3$ 

Since S is linearly independent and  $L(S) = R^3$ . Hence, S is a basis of  $\mathbb{R}^3$ .

**Example 2:** Prove that the set  $S = \{(1, 2, 1), (2, 1, 0), (1, -1, 2)\}$  is a basis of  $\mathbb{R}^3$ .

Solution: Same as Example (1).

**Example 3:** Show that the set  $S = \{(1, 0, 0), (1, 1, 0), (1, 1, 1), (0, 1, 0)\}$  spans the vector space  $\mathbb{R}^3$  but is not a basis set.

**Solution:** Let  $\xi = (a, b, c)$  be any arbitrary element of  $\mathbb{R}^3$ . Then we shall prove that  $\xi \in L(S)$ .

If possible, let  $(a, b, c) = c_1(1, 0, 0) + c_2(1, 1, 0) + c_3(1, 1, 1) + c_4(0, 1, 0)$  for real  $c_1, c_2, c_3, c_4$ 

or 
$$(a, b, c) = (c_1 + c_2 + c_3, c_2 + c_3 + c_4, c_3)$$
This gives 
$$c_1 + c_2 + c_3 = a$$

$$c_2 + c_3 + c_4 = b$$

$$c_3 = c$$

From these equations, we get  $c_3 = c$ ,  $c_1 = a - b + c_4$ ,  $c_2 = b - c - c_4$ . If we take  $c_4 = 0$ , then we get

$$(a, b, c) = (a - b) (1, 0, 0) + (b - c) (1, 1, 0) + c(1, 1, 1) + 0(0, 1, 0)$$

This shows that  $\xi = (a, b, c) \in L(S)$ 

and hence, 
$$\mathbb{R}^3 \subset L(S)$$
 ...(1)

Again,  $S \subset R$  and L(S) is the smallest subspace containing S, then

$$L(S) \subset \mathbb{R}^3$$
 ...(2)

From (1) and (2), we get  $L(S) = \mathbb{R}^3$  i.e., S spans the vector space  $\mathbb{R}^3$ . Again, the relation of linear dependence

$$1(1,0,0)+(-1)(1,1,0)+0(1,1,1)+1(0,1,0)=(0,0,0)$$

Hence, S is not a basis of the vector space  $\mathbb{R}^3$ .

**Example 4:** Prove that  $\dot{S} = \{(2, 1, 1), (1, 2, 1), (1, 1, 2)\}$  is a basis of  $\mathbb{R}^3$ .

**Solution:** Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 = 0$  where  $c_1, c_2, c_3$  are real numbers and  $\alpha_1 = (2, 1, 1), \alpha_2 = (1, 2, 1), \alpha_3 = (1, 1, 2).$ 

$$c_1(2,1,1) + c_2(1,2,1) + c_3(1,1,2) = (0,0,0)$$
 or 
$$(2c_1 + c_2 + c_3, c_1 + 2c_2 + c_3, c_1 + c_2 + 2c_3) = (0,0,0)$$
 This gives 
$$2c_1 + c_2 + c_3 = 0$$
 
$$c_1 + 2c_2 + c_3 = 0$$
 
$$c_1 + c_2 + 2c_3 = 0$$

This is homogeneous system of three equations with three unknowns  $c_1$ ,  $c_2$ ,  $c_3$ .

Here the coefficient determinant

$$= \begin{vmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{vmatrix} = 2(4-1) - 1(2-1) + 1(1-2)$$
$$= 6 - 1 - 1 = 4 \neq 0$$

Hence, by the Cramer's rule there exists a unique solution and the solution is  $c_1 = c_2 = c_3 = 0$ . This proves that the set S is linearly independent.

Since  $\mathbb{R}^3$  is a vector space of dimension 3 and S is linearly independent set containing 3 vectors of  $\mathbb{R}^3$ , so S is a basis of  $\mathbb{R}^3$ .

**Note:** Show that the following set of vectors are basis of  $\mathbb{R}^3$ :

(i) 
$$S = \{(2, -1, 0), (3, 5, 1), (1, 1, 2)\}$$

(ii) 
$$S = \{(1, -2, 3), (2, 3, 1), (-1, 3, 2)\}.$$

**Example 5:** Show that the set  $S = \{(1, 2, -1, -2), (2, 3, 0, -1), (1, 2, 1, 4), (1, 3, -1, 0)\}$  is basis of  $\mathbb{R}^4$ .

**Solution:** Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 + c_4\alpha_4 = \theta$  where  $c_1, c_2, c_3, c_4$  are real numbers and  $\alpha_1 = (1, 2, -1, -2), \alpha_2 = (2, 3, 0, -1), \alpha_3 = (1, 2, 1, 4)$  and  $\alpha_4 = (1, 3, -1, 0)$ .

$$c_1(1,2,-1,-2)+c_2(2,3,0,-1)+c_3(1,2,1,4)+c_4(1,3,-1,0)=(0,0,0)$$

or 
$$(c_1 + 2c_2 + c_3 + c_4, 2c_1 + 3c_2 + 2c_3 + 3c_4, -c_1 + c_3 - c_4, -2c_1 - c_2 + 4c_3)$$
  
=  $(0, 0, 0, 0)$ 

This gives 
$$c_1 + 2c_2 + c_3 + c_4 = 0$$
$$2c_1 + 3c_2 + 2c_3 + 3c_4 = 0$$
$$-c_1 + c_3 - c_4 = 0$$
$$-2c_1 - c_2 + 4c_3 = 0$$

This is homogeneous system of four equations with four unknowns  $c_1$ ,  $c_2$ ,  $c_3$ ,  $c_4$ .

Here the coefficient determinant = 
$$\begin{vmatrix} 1 & 2 & 1 & 1 \\ 2 & 3 & 2 & 3 \\ -1 & 0 & 1 & -1 \\ -2 & -1 & 4 & 0 \end{vmatrix}$$
$$= \begin{vmatrix} 1 & 0 & 0 & 0 \\ 2 & -1 & 0 & 1 \\ -1 & 2 & 2 & 0 \\ -2 & 3 & 6 & 2 \end{vmatrix} \begin{vmatrix} C'_2 = C_2 - 2C_1 \\ C'_3 = C_3 - C_1 \\ C'_4 = C_4 - C_1 \end{vmatrix} = \begin{vmatrix} -1 & 0 & 1 \\ 2 & 2 & 0 \\ 3 & 6 & 2 \end{vmatrix}$$

$$= -1(4-6.0) - 0(4.0) + 1(12-6)$$
$$= -4-0+6=2 \neq 0$$

Hence, by the Cramer's rule there exists a unique solution and the solution is  $c_1 = c_2 = c_3 = c_4 = 0$ . This proves that the set is linearly independent.

Since  $\mathbb{R}^4$  is a vector space of dimension 4 and S is linearly independent set containing 4 vectors of  $\mathbb{R}^4$ , so S is a basis of  $\mathbb{R}^4$ .

**Example 6:** Let V be a real vector space with  $\{\alpha, \beta, \gamma\}$  as a basis. Prove that the set  $\{2\alpha + 3\beta + \gamma, 3\beta + \gamma, \gamma\}$  is also a basis of V.

**Solution:** Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 = \theta$  where  $c_1, c_2, c_3$  are real numbers and  $\alpha_1 = 2\alpha + 3\beta + \gamma$ ,  $\alpha_2 = 3\beta + \gamma$ ,  $\alpha_3 = \gamma$ 

$$c_1(2\alpha + 3\beta + \gamma) + c_2(3\beta + \gamma) + c_3\gamma = \theta$$
or  $2c_1\alpha + 3(c_1 + c_2)\beta + (c_1 + c_2 + c_3)\gamma = \theta$ 

Since the set  $\{\alpha, \beta, \gamma\}$  is linearly independent, then we get

$$2c_1 = 0$$
$$3(c_1 + c_2) = 0$$
$$c_1 + c_2 + c_3 = 0$$

The solution of these equations is  $c_1 = 0$ ,  $c_2 = 0$ ,  $c_3 = 0$ .

This proves that the set of vectors  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$  is linearly independent.

Since V is a vector space of dimension 3 and  $\{\alpha_1, \alpha_2, \alpha_3\}$  is linearly independent set containing 3 vectors of V. Therefore  $\{\alpha_1, \alpha_2, \alpha_3\}$  is a basis of V.

**Example 7:** Show that the set of vectors  $B = \{1, 1 + 3x, 1 + 3x + 2x^2\}$  is a basis of  $P_3$ .

**Solution:** Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 = \theta$  where  $c_1$ ,  $c_2$ ,  $c_3$  are real numbers and  $\alpha_1 = 1$ ,  $\alpha_2 = 1 + 3x$ ,  $\alpha_3 = 1 + 3x + 2x^2$ .

$$c_1 \cdot 1 + c_2(1+3x) + c_3(1+3x+2x^2) = 0$$
or  $(c_1 + c_2 + c_3) \cdot 1 + 3x(c_2 + c_3) + 2c_3 x^2 = 0 \cdot 1 + 0 \cdot x + 0 \cdot x^2$ 
This gives  $c_1 + c_2 + c_3 = 0$ 

$$3(c_2 + c_3) = 0$$

$$2c_3 = 0$$

The solution of these equations is  $c_1 = c_2 = c_3 = 0$ . This proves that the set B is linearly independent.

Since  $P_3$  is a vector space of dimension 3 and B is a linearly independent set containing three vectors in  $P_3$ , so B is a basis of  $P_3$ .

**Example 8:** Find the basis of  $\mathbb{R}^3$  that contains the vectors (1, 2, 0) and (1, 3, 1).

**Solution:** Here  $R_3$  is a vector space of dimension 3. The standard basis of  $R^3$  is  $\{\xi_1, \xi_2, \xi_3\}$  where  $\xi_1 = (1, 0, 0), \xi_2 = (0, 1, 0)$  and  $\xi_3 = (0, 0, 1)$ .

Let 
$$\alpha = (1, 2, 0)$$
 and  $\beta = (1, 3, 1)$   
Now  $\alpha = (1, 2, 0) = 1 \cdot \xi_1 + 2 \cdot \xi_2 + 0 \cdot \xi_3$ 

Then by replacement theorem,  $\alpha$  can replace  $\xi_1$  in the basis  $\{\xi_1, \xi_2, \xi_3\}$  and  $\{\alpha_1, \xi_2, \xi_3\}$  in a new basis of  $R^3$ .

Let 
$$\beta = c_1 \alpha + c_2 \xi_2 + c_3 \xi_3$$
  
or  $(1, 3, 1) = c_1 (1, 2, 0) + c_2 (0, 1, 0) + c_3 (0, 0, 1) = (c_1, 2c_1 + c_2, c_3)$   
This gives  $c_1 = 1, 2c_1 + c_2 = 3, c_3 = 1$ 

$$c_1 = c_2 = c_3 = 1$$
 and  $\beta = \alpha + \xi_2 + \xi_3$ 

Then by replacement theorem  $\beta$  can replace  $\xi_2$  in the basis  $\{\alpha, \xi_2, \xi_3\}$  and  $\{\alpha, \beta, \xi_3\}$  is a new basis of  $\mathbb{R}^3$ .

**Note:** Find the basis of  $\mathbb{R}^3$  containing the vectors (i) (1, 1, 0), (1, 1, 1) and (ii) (1, 2, 1), (3, 6, 2).

Solution: Same as Example (8).

**Example 9:** Show that  $S = \{(1, 0, 1, 1), (-1, -1, 0, 0), (0, 1, 1, 0)\}$  is a linearly independent. Subset of  $\mathbb{R}^4$  (vector space of dimension 4 over  $\mathbb{R}$ ). Extend the subset to a basis of  $\mathbb{R}^4$ .

**Solution:** Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 = \theta$  where  $c_1, c_2, c_3$  are real numbers and  $\alpha_1 = (1, 0, 1, 1), \alpha_2 = (-1, -1, 0, 0), \alpha_3 = (0, 1, 1, 0).$ 

$$c_1(1,0,1,1) + c_2(-1,-1,0,0) + c_3(0,1,1,0) = (0,0,0,0)$$
or 
$$(c_1 - c_2, -c_2 + c_3, c_1 + c_3, c_1) = (0,0,0,0)$$
This gives 
$$c_1 - c_2 = 0$$

$$- c_2 + c_3 = 0$$

$$c_1 + c_3 = 0$$

$$c_1 = 0$$

The solution of these equations is  $c_1 = c_2 = c_3 = 0$ . This proves that the set S subset of  $\mathbb{R}^4$  is linearly independent.

Let 
$$\beta = (1, 0, 0, 0) \in \mathbb{R}^4 - L(S)$$

Then we shall prove that the set  $S_1 = {\alpha_1, \alpha_2, \alpha_3, \beta}$  is linearly independent.

Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_4\alpha_3 + c_3\beta = \theta$  where  $c_1, c_2, c_3$  and  $c_4$  are real numbers.

Now, we assume  $c_4 = 0$ , if  $c_4 \neq 0$ , then  $c_4^{-1}$  exists in R.

$$\beta = -c_4^{-1} c_1 \alpha_1 - c_4^{-1} c_2 \alpha_2 - c_4^{-1} c_3 \alpha_3$$

$$= d_1 \alpha_1 + d_2 \alpha_2 + d_3 \alpha_3 \text{ where } d_i = -c_4^{-1} c_i \in R$$

 $\beta \in L(S)$  which gives the contradiction and hence the assumption is true i.e.,  $c_4 = 0$ .

Since  $\{\alpha_1, \alpha_2, \alpha_3\}$  is linear independent set. So  $c_1 = c_2 = c_3 = 0$ .

Therefore, the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 + c_4\beta = \theta$  implies  $c_1 = c_2 = c_3 = c_4 = 0$ .

This proves that the set  $S_1$  is linearly independent.

Since  $\mathbb{R}^4$  is a vector space of dimension 4 and  $S_1$  is a linearly independent set containing  $\mathbb{R}^4$  vectors of  $\mathbb{R}^4$ , so  $S_1$  is a basis of  $\mathbb{R}^4$ .

[2nd part: Let  $\beta = (1, 0, 0, 0) \in \mathbb{R}^4$ ]

Let us consider the set  $S_1 = {\alpha_1, \alpha_2, \alpha_3, \beta}$ . Then we shall prove that  $S_1$  is a basis of  $\mathbb{R}^4$ .

Let us consider the relation  $c_1\alpha_1 + c_2\alpha_2 + c_3\alpha_3 + c_4\beta = \theta$  where  $c_1$ ,  $c_2$ ,  $c_3$ ,  $c_4$  are real numbers.

$$c_1(1,0,1,1) + c_2(-1,-1,0,0) + c_3(0,1,1,0) + c_4(1,0,0,0) = (0,0,0,0)$$
This gives  $c_1 - c_2 + c_4 = 0$ 

$$-c_2 + c_3 = 0$$

$$c_1 + c_3 = 0$$

$$c_1 = 0$$

The solution of these equations is  $c_1 = c_2 = c_3 = c_4 = 0$ . This proves that the set  $S_1$  is linearly independent.

Since  $\mathbb{R}^4$  is a vector space of dimension is 4 and  $S_1$  is linearly independent set containing 4 vectors of  $\mathbb{R}^4$ , so  $S_1$  is a basis of  $\mathbb{R}^4$ .

**Example 10:** Find the basis and dimension of the subspace W of  $\mathbb{R}^3$  where

$$W = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = 0\}.$$

**Solution:** Let  $\xi = (a, b, c) \in W$ , then a + b + c = 0 and  $a, b, c \in \mathbb{R}$ 

$$\xi = (a, b, -a - b) \quad (\because c = -a - b)$$
$$= a(1, 0, -1) + b(0, 1, -1)$$

Let  $\alpha = (1, 0, -1)$  and  $\beta = (0, 1, -1)$ , then  $\xi = a\alpha + b\beta \in L(S)$ 

where  $S = \{\alpha, \beta\}$ 

$$\therefore \qquad W \subset L(S) \qquad ...(1)$$

Again 
$$\alpha \in W$$
,  $\beta \in W$ . This implies  $L(S) \subset W$  ...(2)

From (1) and (2), we get W = L(S).

Then, we shall prove that the set S is linearly independent.

Let us consider the relation  $c_1\alpha + c_2\beta = \theta$  where  $c_1, c_2 \in \mathbb{R}$ 

$$c_1(1,0,-1)+c_2(0,1,-1)=(0,0,0)$$

$$\Rightarrow$$
  $(c_1, c_2, -c_1 - c_2) = (0, 0, 0)$ 

This gives  $c_1 = 0$ ,  $c_2 = 0$ ,  $-c_1 - c_2 = 0$ 

 $c_1 = c_2 = 0$  and this proves that the set S is a linearly independent set.

Hence,  $S = {\alpha, \beta}$  is a basis of W and dim W = 2.

**Example 11:** Find the basis and dimension of the subspace W or  $\mathbb{R}^3$  where

$$W = \{(x, y, z) \in \mathbb{R}^3 : x + 2y + z = 0, \ 2x + y + 3z = 0\}.$$

**Solution:** Let  $\xi = (a, b, c)$  be an arbitrary vector of W, then

$$a+2b+c=0$$
 and

$$2a + b + 3c = 0$$
 where  $a, b, c \in \mathbb{R}$ 

By cross-multiplication, we get

$$\frac{a}{6-1} = \frac{b}{2-3} = \frac{c}{1-4}$$

i.e., 
$$\frac{a}{5} = \frac{b}{-1} = \frac{c}{-3} = k \text{ (say)}$$

$$a = 5k, b = -k, c = -3k.$$

 $\pm \xi = (5k, -k, -3k) = k(5, -1, -3)$  where k is arbitrary real number.

$$\therefore W = L\{\alpha\} \text{ where } \alpha = (5, -1, -3).$$

Since  $\{\alpha\}$  is linearly independent set,  $\{\alpha\}$  is a basis of W and dim W=1.

**Example 12:** S and T are subspace of  $\mathbb{R}^4$  given by

$$S = \{(x, y, z, w) \in \mathbb{R}^4 : 2x + y + 3z + w = 0\}$$
  

$$T = \{(x, y, z, w) \in \mathbb{R}^4 : x + 2y + z + 3w = 0\}$$

Find dim  $S \cap T$ .

**Solution:** Now  $S \cap T = \{(x, y, z, w) \in \mathbb{R}^4 : 2x + y + 3z + w = 0, x + 2y + z + 3w = 0\}$ 

Let  $\xi = (a, b, c, d) \in S \cap T$ , then  $a, b, c, d \in \mathbb{R}$  and

Solving (1) and (2), we get

$$a = -5b - 8d$$
,  $c = 3b + 5b$ 

$$\xi = (a, b, c, d) = (-5b - 8d, b, 3b + 5d, d)$$
$$= b(-5, 1, 3, 0) + d(-8, 0, 5, 1)$$

Let  $\alpha = (-5, 1, 3, 0)$  and  $\beta = (-8, 0, 5, 1)$ , then  $\xi = b\alpha + d\beta$  where  $b, d \in \mathbb{R}$ 

$$\vdots \qquad \qquad \xi \in L(S) \text{ where } S = \{\alpha, \beta\}$$

$$\therefore S \cap T \subset L(S) \qquad \dots (1)$$

Again,  $\alpha \in S$ ,  $\alpha \in T$ ;  $\beta \in S$ ,  $\beta \in T$ . This implies  $\alpha \in S \cap T$ ,  $\beta \in S \cap T$ 

$$L(S) \subset S \cap T$$

From (1) and (2)  $L(S) = S \cap T$ .

Then, we shall prove that the set of vectors  $\alpha$ ,  $\beta$  is linearly independent.

Let us consider the relation

$$c_1 \alpha + c_2 \beta = \theta$$
  
i.e.,  $c_2(-8, 0, 5, 1) + c_1(-5, 1, 3, 0) = (0, 0, 0, 0)$   
or  $(-8c_2 - 5c_1, c_1, 5c_2 + 3c_1, c_2) = (0, 0, 0, 0)$   
This gives  $-8c_2 - 5c_1 = 0$   
 $c_1 = 0$   
 $5c_2 + 3c_1 = 0$   
 $c_2 = 0$   
 $c_1 = c_2 = 0$ . Hence, S is linearly independent set.  
 $c_1 = c_2 = 0$ .

**Example 13:** Find the dimension of the subspace S of  $\mathbb{R}^4$  where

$$S = \{(x, y, z, w) : x + y + z + w = 0\}.$$

**Solution:** Let  $\xi = (a, b, c, d) \in S$ , then  $a, b, c, d \in \mathbb{R}$  and a + b + c + d = 0

$$\begin{array}{ll}
\therefore & d = -a - b - c \\
\therefore & \xi = (a, b, c, -a - b - c) \\
& = a(1, 0, 0, -1) + b(0, 1, 0, -1) + c(0, 0, 1, -1) \\
\text{Let} & \alpha = (1, 0, 0, -1), \beta = (0, 1, 0, -1) \text{ and } \gamma = (0, 0, 1, -1), \\
\text{then} & \xi = a\alpha + b\beta + c\gamma \\
\therefore & \xi \in L\{S_1\} \text{ where } S_1 = \{\alpha, \beta, \gamma\} \\
\therefore & S \subset L(S_1)
\end{array}$$
...(1)

Again,  $\alpha$ ,  $\beta$ ,  $\gamma \in S$ . This implies  $L(S_1) \subset S$  ...(2)

From (1) and (2), we get  $L(S_1) = S$ .

Then, we shall prove that the set  $S_1$  is linearly independent.

Let us consider the relation

$$c_{1}\alpha+c_{2}\beta+c_{3}\gamma=\theta$$
 or 
$$c_{1}(1,0,0,-1)+c_{2}(0,1,0,-1)+c_{3}(0,0,1,-1)=(0,0,0,0)$$
 or 
$$(c_{1},c_{2},c_{3},-c_{1}-c_{2}-c_{3})=(0,0,0,0)$$
 This gives 
$$c_{1}=0,\,c_{2}=0,\,c_{3}=0,-c_{1}-c_{2}-c_{3}=0$$

 $c_1 = c_2 = c_3 = 0$ . This proves that  $S_1$  is linearly independent set.

 $\therefore$   $S_1$  is a basis of S and dim S = 3.

**Example 14:** Find a basis and determine the dimension of the following subspace of the vector space  $\mathbb{R}_{2 \times 2}$ :

(i) 
$$S = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathbb{R}_{2 \times 2} : a + d = 0 \right\}.$$

...(2)

(ii) 
$$S = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathbb{R}_{2 \times 2} : a = d = 0 \right\}.$$

**Solution:** (i) Let  $A = \begin{pmatrix} x & y \\ z & w \end{pmatrix} \in S$ , then  $x, y, z, w \in \mathbb{R}$  and x + w = 0

$$w = -x$$

$$\therefore A = \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} x & y \\ z & -x \end{pmatrix} = x \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + y \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + z \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

Let 
$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = M$$
,  $N = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $P = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ 

$$\therefore A = xM + yN + zP$$

$$\therefore A \in L(S_1) \text{ where } S_1 = \{M, N, P\}$$

$$\therefore S \subset L(S_1) \qquad \dots (1)$$

Again, 
$$M \in S$$
,  $N \in S$ ,  $P \in S$ . This implies  $L(S_1) \subset S$ 

From (1) and (2), we get  $L(S_1) = S$ .

Then, we shall prove that  $S_1$  is linearly independent set.

Let us consider the relation  $aM + bN + cP = \theta$  where  $a, b, c \in \mathbb{R}$ 

$$\therefore a \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + b \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + c \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} a & b \\ c & -a \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}. \text{ This gives } a = 0, b = 0, c = 0.$$

This shows that the set  $S_1$  is linearly independent.

 $\therefore$   $S_1$  is a basis of S and dim S = 3.

(ii) Let 
$$A = \begin{pmatrix} x & y \\ z & w \end{pmatrix} \in S$$
, then  $x, y, z, w \in \mathbb{R}$  and  $x = w = 0$ 

$$\therefore A = \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} 0 & y \\ 0 & 0 \end{pmatrix} = y \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + z \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

Let 
$$M = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$
 and  $N = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ 

$$\therefore A = yM + zN$$

$$\therefore A \in L(S_1) \text{ where } S_1 = \{M, N\}$$

$$: S \subset L(S_1)$$

Again  $M \in S$ ,  $N \in S$ . This implies  $L(S_1) \subset S$  ...(2)

From (1) and (2),  $L(S_1) = S$ .

Then we shall prove that  $S_1$  is linearly independent.

Let us consider the relation  $aM + bN = \theta$  where  $a, b \in \mathbb{R}$ 

$$\therefore \qquad a \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + b \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\Rightarrow \qquad \begin{pmatrix} 0 & a \\ b & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}. \text{ This gives } a = b = 0.$$

This shows that the set  $S_1$  is linearly independent.

 $S_1$  is a basis of S and dim S = 2.

**Example 15:** (a) Let W be a subspace of  $\mathbb{R}^4$  defined by

$$W = \{(a, b, c, d) \in \mathbb{R}^4 : a + b = 0, c = 2d\}$$
. Find dim W.

(b) Let  $S = \{(x, y, z) \in \mathbb{R}^3 : 3x - y + z = 0\}$ . Show that S is a sub-space of  $\mathbb{R}^3$ . Find a basis of S.

**Solution:** (a) Let  $\xi = (x, y, z, w) \in W$ , then  $x, y, z, w \in \mathbb{R}$  and x + y = 0, z = 2w

$$\xi = (x, y, z, w) = (x, -x, 2w, w)$$

$$= x(1, -1, 0, 0) + w(0, 0, 2, 1)$$

 $\alpha = (1, -1, 0, 0)$  and  $\beta = (0, 0, 2, 1)$ 

$$\vdots \qquad \xi = x\alpha + w\beta \in L(S) \text{ where } S = \{\alpha, \beta\}$$

$$\therefore \qquad W \subset L(S) \qquad \dots (1)$$

Again  $\alpha \in W$ ,  $\beta \in W$ , this implies  $L(S) \subset W$ ...(2)

From (1) and (2), we get L(S) = W

Then we shall prove that the set S is linearly independent.

Let us consider the relation  $c_1\alpha + c_2\beta = \theta$  where  $c_1$ ,  $c_2$  are real numbers.

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$$c_1(1,-1,0,0)+c_2(0,0,2,1)=(0,0,0,0)$$

$$\Rightarrow$$
  $(c_1, -c_1, 2c_2, c_2) = (0, 0, 0, 0)$ 

This gives 
$$c_1 = 0, -c_1 = 0, 2c_2 = 0, c_2 = 0$$

$$\therefore c_1 = c_2 = 0$$

This proves that the set S is linearly independent.

Hence, S is the basis of W and it contains two vectors.

$$\therefore \qquad \dim W = 2.$$

(b) Let 
$$\alpha = (x_1, y_1, z_1) \in S$$
 and  $\beta = (x_2, y_2, z_2) \in S$ , then

$$3x_1 - y_1 + z_1 = 0$$
 and  $3x_2 - y_2 + z_2 = 0$ ,  $x_1, x_2, y_1, y_2, z_1, z_2 \in \mathbb{R}$ 

Now, 
$$\alpha + \beta = (x_1, y_1, z_1) + (x_2, y_2, z_2)$$
  

$$= (x_1 + x_2, y_1 + y_2 + z_1 + z_2) \in S \text{ because } 3(x_1 + x_2) - (y_1 + y_2) + (z_1 + z_2)$$

$$= (3x_1 - y_1 + z_1) + (3x_2 - y_2 + z_2)$$

$$= 0 + 0 = 0$$

Let 
$$c \in \mathbb{R}$$
, then  $c\alpha = c(x_1, y_1, z_1)$   

$$= (cx_1, cy_1, cz_1) \in S \text{ because } 3(cx_1) - cy_1 + cz_1$$

$$= c(3x_1 - y_1 + z_1) = c \cdot 0 = 0$$

Since  $\alpha$ ,  $\beta \in S$  and  $c \in \mathbb{R} \Rightarrow \alpha + \beta \in S$  and  $c\alpha \in S$ 

 $\therefore$  S is a subspace of  $\mathbb{R}^3$ 

Let 
$$\xi = (x, y, z) \in S$$
, then  $x, y, z \in \mathbb{R}$  and  $3x - y + z = 0$ 

$$\xi = (x, y, z) = (x, y, y - 3x)$$
$$= x(1, 0, -3) + y(0, 1, 1)$$

Let  $\alpha = (1, 0, -3)$  and  $\beta = (0, 1, 1)$ , then  $\xi = x\alpha + y\beta \in L(S_1)$  where  $S_1 = \{\alpha, \beta\}$ 

$$\therefore S \subset L(S_1) \qquad \dots (1)$$

Again 
$$\alpha \in S$$
,  $\beta \in S$ . This implies  $L(S_1) \subset S$  ...(2)

From (1) amd (2), we get

$$S = L(S_1)$$

Then, we shall prove that the set  $S_1$  is linearly independent.

Let us consider the relation  $c_1 \alpha + c_2 \beta = \theta$  where  $c_1, c_2 \in \mathbb{R}$ 

$$\therefore c_1(1,0,-3) + c_2(0,1,1) = (0,0,0)$$

$$\Rightarrow$$
  $(c_1, c_2, -3c_1 + c_2) = (0, 0, 0)$ 

This gives  $c_1 = 0$ ,  $c_2 = 0$ ,  $-3c_1 + c_2 = 0$ 

$$\therefore c_1 = c_2 = 0$$

This proves that the set  $S_1$  is linearly independent.

Hence,  $S_1$  is the basis of S.